

DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION (37 CFR 1.63) <input type="checkbox"/> Declaration Submitted with Initial Filing OR <input checked="" type="checkbox"/> Declaration Submitted after Initial Filing (surcharge (37 CFR 1.16(e)) required)	Attorney Docket Number	06510-121US1
	First Named Inventor	Yadong Huang
	COMPLETE IF KNOWN	
	Application Number	09/544,910
	Filing Date	April 7, 2000
	Group Art Unit	N/A
	Examiner Name	N/A

As a below named inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

Methods and Compositions for Use in the Treatment of Hyperlipidemia

the specification of which:

☐ is attached hereto

OR

☒ was filed on April 7, 2000 as United States Application Number 09/544,910.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined by 37 CFR 1.56.

Insofar as the subject matter of each of the claims of this application are not disclosed in the prior United States or PCT international application in the manner provided by the first paragraph of 35 U.S.C. 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designating at least one country other than the United States of America, listed below and have also identified below any foreign application(s) for patent or inventor's certificate or any PCT international application(s) having a filing date before that of the application(s) of which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
				YES	NO

I hereby claim the benefit under 35 U.S.C. 119(e) of any United States provisional application(s) listed below.

Application Number(s)	Filing Date (MM/DD/YYYY)
60/128,853	04/12/99

I hereby claim the benefit under 35 U.S.C. 120 of any United States application(s), or 365(c) of any PCT international application(s) designating the United States of America, listed below.


U.S. Parent Application or PCT Parent Number	Parent Filing Date (MM/DD/YYYY)	Parent Patent Number (if applicable)

DIRECT ALL CORRESPONDENCE TO:

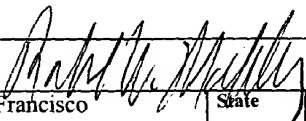
Name	Bret E. Field				
Address	BOZICEVIC, FIELD & FRANCIS LLP				
Address	200 Middlefield Road, Suite 200				
City, State, Zip	Menlo Park, CA 94025				
Country	U.S.A.	Telephone	650-327-3400	Facsimile	650-327-3231

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

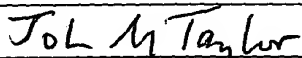
Name of Sole or First Inventor:

Given Name (first and middle [if any])				Family Name or Surname			
Yadong				Huang			
Inventor's Signature						Date	07/28/00
Residence: City	San Francisco	State	CA	Country	USA	Citizenship	P.R. China
Post Office Address	Gladstone Institute of Cardiovascular Disease, P.O. Box 419100						
City	San Francisco	State	CA	Zip	94141-9100	Country	USA

Name of Second Inventor:

Given Name (first and middle [if any])				Family Name or Surname			
Robert W.				Mahley			
Inventor's Signature						Date	8/3/00
Residence: City	San Francisco	State	CA	Country	USA	Citizenship	USA
Post Office Address	Gladstone Institute of Cardiovascular Disease, P.O. Box 419100						
City	San Francisco	State	CA	Zip	94141-9100	Country	USA

Name of Third Inventor:

Given Name (first and middle [if any])				Family Name or Surname			
John M.				Taylor			
Inventor's Signature						Date	8-2-00
Residence: City	San Francisco	State	CA	Country	USA	Citizenship	USA
Post Office Address	Gladstone Institute of Cardiovascular Disease, P.O. Box 419100						
City	San Francisco	State	CA	Zip	94141-9100	Country	USA



Attorney Docket No.: 06510-121US1

Applicant: Yadong Huang, et al.

Serial No.: 09/544,910

Filed: April 7, 2000

For: Methods and Compositions for Use in the Treatment of Hyperlipidemia

Group: N/A

Examiner: N/A

POWER OF ATTORNEY BY ASSIGNEE
AND EXCLUSION OF INVENTOR(S) UNDER 37 C.F.R. 3.71

Dear Sir:

The undersigned assignee of the entire interest in the above-identified subject application hereby appoints:

Karl Bozicevic	28,807	Pamela J. Sherwood	36,677
Bret E. Field	37,620	Dianna L. DeVore	42,484
Carol L. Francis	36,513	Paula A. Borden	42,344
Alan W. Cannon	34,977	Nicole A. Verona	P-47,153

as its attorney to prosecute this application and to transact all business in the United States Patent and Trademark Office connected therewith, said appointment to be to the exclusion of the inventors and their attorneys) in accordance with the provisions of 37 C.F.R. 3.71.

An assignment of the entire interest in the above-identified subject application:

☐ was recorded on _____ at reel/frame _____ / _____☒ is submitted herewith for recording.

Please direct all telephone calls to Bret E. Field at BOZICEVIC, FIELD & FRANCIS LLP
and all correspondence relative to said application to the following address:

Bret E. Field
BOZICEVIC, FIELD & FRANCIS LLP
200 Middlefield Road, Suite 200
Menlo Park, California 94025

Dated: 8.23.00

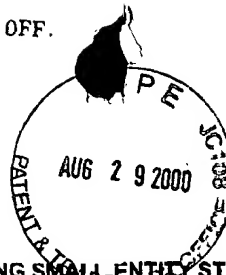
ASSIGNEE: THE REGENTS OF THE UNIVERSITY OF CALIFORNIA

Signature: Linda S. StevensonTyped Name: Linda S. StevensonTitle: Manager, Patent Prosecution

Office of Technology Transfer
1111 Franklin Street, ~~12th Floor~~ 5th Floor
Oakland, CA 94607-5200

F:\Document\06510\121US1\POA by Assignee (U.C.).doc

Applicant or Patentee: Yadong Huang, et al.
 Serial or Patent No.: 09/544,910
 Filed or Issued: April 7, 2000
 Title: Methods and Compositions for Use in the Treatment of Hyperlipidemia



Docket No.: 6510-121US1
 UC Case No.: 99-066-2

113

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS
[37 CFR § 1.9(f) and § 1.27(d)]--NONPROFIT ORGANIZATION

I hereby declare that I am an official empowered to act on behalf of the nonprofit organization identified below:

NAME OF ORGANIZATION: **The Regents of the University of California**
 ADDRESS OF ORGANIZATION: **1111 Franklin Street, 12th Floor, Oakland, California 94607-5200**

TYPE OF ORGANIZATION

- ☒ UNIVERSITY OR OTHER INSTITUTION OF HIGHER EDUCATION
☒ TAX EXEMPT UNDER INTERNAL REVENUE SERVICE CODE [26 USC § 501(a) and § 501(c) (3)]
☐ NONPROFIT SCIENTIFIC OR EDUCATIONAL UNDER STATUTE OF STATE OR THE UNITED STATES OF AMERICA
 (NAME OF STATE _____)
 (CITATION OF STATUTE _____)
☐ WOULD QUALIFY AS TAX EXEMPT UNDER INTERNAL REVENUE SERVICE CODE [26 USC § 501(a) and § 501(c) (3)] IF LOCATED IN THE UNITED STATES OF AMERICA
☐ WOULD QUALIFY AS NONPROFIT SCIENTIFIC OR EDUCATIONAL UNDER STATUTE OF STATE OF THE UNITED STATES OF AMERICA IF LOCATED IN THE UNITED STATES OF AMERICA
 (NAME OF STATE _____)
 (CITATION OF STATUTE _____)

I hereby declare that the nonprofit organization identified above qualifies as a nonprofit organization as defined in 37 CFR § 1.9(e) for purposes of paying reduced fees under section 41(a) or (b) of Title 35, United States Code with regard to the invention entitled Methods and Compositions for Use in the Treatment of Hyperlipidemia by inventor(s) Yadong Huang and John M. Taylor described in U.S. Application No. 09/544,910, filed April 7, 2000.

I hereby declare that rights under contract or law have been conveyed to and remain with the nonprofit organization with regard to the above identified invention [except for a license to a Federal Agency pursuant to USC § 202 (c) (4)].

If the rights held by the nonprofit organization are not exclusive, each individual, concern, or organization having rights to the invention is listed below* and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR § 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR § 1.9(d) or a nonprofit organization under 37 CFR § 1.9(e).

*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities (37 CFR § 1.27).

NAME N/A
 ADDRESS _____
☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. [37 CFR § 1.28(b)].

I hereby declare that all statements made of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like are punishable by fine, imprisonment, or both, under 18 USC § 1001, and may jeopardize the validity of the application, any patent issuing thereon, or any patent which this verified statement is directed.

NAME/TITLE OF PERSON SIGNING: Linda S. Stevenson, Manager, Patent Prosecution
 ADDRESS OF PERSON SIGNING: 1111 Franklin Street, 12th Floor, Oakland, California 94607-5200
5th Floor

SIGNATURE Linda S. Stevenson DATE 8.23.00